

General information about company

Scrip code	541133
NSE Symbol	
MSEI Symbol	
ISIN	INE758W01019
Name of the entity	Apex Capital and Finance Limited
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	30-06-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee (s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN

1	Mr	Ramesh Shah	AASPS9513Q	00029864	Non-Executive - Independent Director	Chairperson		20-05-1958	NA	01-09-2017	26-09-2017	60	3	3	5	2
2	Mr	Shekhar Singh	AAYP9409B	00039567	Executive Director	Not Applicable	MD	29-05-1966	NA	01-09-2016	14-02-2022	60	1	0	1	0
3	Mr	Sumit Choudhary	ABLPC0800G	02586702	Non-Executive - Non Independent Director	Not Applicable		11-03-1979	NA	25-08-2009	26-09-2009		1	0	1	1
4	Mr	Sandeep Singh	AXAPS8505K	02767062	Non-Executive - Non Independent Director	Not Applicable		30-11-1983	NA	01-09-2017	26-09-2017		1	0	1	0
5	Mrs	Promila Bhardwaj	AADPB3674L	06428534	Non-Executive - Independent Director	Not Applicable		25-12-1954	NA	01-09-2017	26-09-2017	60	2	2	2	1

Audit Committee Details

Whether the Audit Committee has a Regular Chairperson								Yes	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks		
1	00029864	Ramesh Shah	Non-Executive - Independent Director	Chairperson	01-09-2017				
2	00039567	Shekhar Singh	Executive Director	Member	01-09-2017				
3	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Member	01-09-2017				

Nomination and remuneration committee

Whether the Nomination and remuneration committee has a Regular Chairperson								No	
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks		
1	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Chairperson	01-01-2022		Textual Information(1)		

2	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Member	01-09-2017
3	00029864	Ramesh Shah	Non-Executive - Independent Director	Member	01-09-2017

Textual Information(2)

Sr Text Block

Textual Information(1)	Appointed as a member w.e.f. 01.09.2017 and designated as Chairperson of the Committee w.e.f. 01.01.2022
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Textual Information(2)	Appointed as a Chairperson and member of the Committee w.e.f. 01.09.2017 and ceased to be the Chairperson of the Committee w.e.f. 01.01.2022
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Stakeholders Relationship Committee

Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Chairperson	01-09-2017		
2	02767062	Sandeep Singh	Non-Executive - Non Independent Director	Member	01-09-2017		
3	00029864	Ramesh Shah	Non-Executive - Independent Director	Member	01-01-2022		Textual Information(1)

Sr Text Block

Textual Information(1)	Appointed as a member of the Committee w.e.f. 01.01.2022
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Risk Management Committee

Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee

Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee

Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00039567	Shekhar Singh	Finance and Executive Committee	Executive Director	Chairperson	
2	02586702	Sumit Choudhary	Finance and Executive Committee	Non-Executive - Non Independent Director	Member	
3	02767062	Sandeep Singh	Finance and Executive Committee	Non-Executive - Non Independent Director	Member	
4	00029864	Ramesh Shah	Securities Committee	Non-Executive - Independent Director	Chairperson	
5	00039567	Shekhar Singh	Securities Committee	Executive Director	Member	
6	02586702	Sumit Choudhary	Securities Committee	Non-Executive - Non Independent Director	Member	

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	Audit Committee	14-02-2022				Yes	3	2
2	Audit Committee	29-05-2022	103			Yes	3	2
3	Nomination and remuneration committee	29-03-2022				Yes	3	2

4	Nomination and remuneration committee	29-05-2022	Yes	3	2
5	Stakeholders Relationship Committee	29-03-2022	Yes	3	1

Annexure 1

V. Related Party Transactions

Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1

VI. Affirmations

Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes

8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1

Sr	Subject	Compliance status
1	Name of signatory	Phul Jha
2	Designation	Company Secretary and Compliance Officer

Text Block

Textual Information(1)	The report of the current quarter will be placed before the Board in the next Board Meeting. The report of the previous quarter has been placed before the Board and no comment/observation/advice received from them.
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Signatory Details

Name of signatory	Phul Jha
Designation of person	Company Secretary and Compliance Officer
Place	New Delhi
Date	20-07-2022