

General information about company	
Scrip code	541133
NSE Symbol	
MSEI Symbol	
ISIN	INE758W01019
Name of the entity	Apex Capital and Finance Limited
Date of start of financial year	01-04-2021
Date of end of financial year	31-03-2022
Reporting Quarter	Quarterly
Date of Report	31-12-2021
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Yes

Whether Chairperson is related to MD or CEO

No

PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)
AASPS9513Q	00029864	Non-Executive - Independent Director	Chairperson		20-05-1958	NA		01-09-2017	26-09-2017		60	3	3	4	2
AAYP9409B	00039567	Executive Director	Not Applicable	MD	29-05-1966	NA		01-09-2016	01-02-2017		60	1	0	2	0
ABLPC0800G	02586702	Non-Executive - Non Independent Director	Not Applicable		11-03-1979	NA		25-08-2009	26-09-2009		0	1	0	1	1
AXAPS8505K	02767062	Non-Executive - Non Independent Director	Not Applicable		30-11-1983	NA		01-09-2017	26-09-2017		0	1	0	1	0

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	Number of members in Stakeholder Committee (Refer Regulation 26 of Listing Regulations)
5	Mrs	Promila Bhardwaj	AADPB3674L	06428534	Non-Executive - Independent Director	Not Applicable		25-12-1954	NA		01-09-2017	26-09-2017		60	2	2	2

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00029864	Ramesh Shah	Non-Executive - Independent Director	Chairperson	01-09-2017		
2	00039567	Shekhar Singh	Executive Director	Member	01-09-2017		
3	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Member	01-09-2017		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00029864	Ramesh Shah	Non-Executive - Independent Director	Chairperson	01-09-2017		
2	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Member	01-09-2017		
3	06428534	Promila Bhardwaj	Non-Executive - Independent Director	Member	01-09-2017		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00039567	Shekhar Singh	Executive Director	Member	01-09-2017		
2	02586702	Sumit Choudhary	Non-Executive - Non Independent Director	Chairperson	01-09-2017		
3	02767062	Sandeep Kumar	Non-Executive - Non Independent Director	Member	01-09-2017		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	00039567	Shekhar Singh	Finance & Executive Committee	Executive Director	Chairperson	
2	02586702	Sumit Choudhary	Finance & Executive Committee	Non-Executive - Non Independent Director	Member	
3	02767062	Sandeep Kumar	Finance & Executive Committee	Non-Executive - Non Independent Director	Member	
4	00029864	Ramesh Shah	Security Committee	Non-Executive - Independent Director	Chairperson	
5	00039567	Shekhar Singh	Security Committee	Executive Director	Member	
6	02586702	Sumit Choudhary	Security Committee	Non-Executive - Non Independent Director	Member	

Annexure 1							
Annexure 1							
III. Meeting of Board of Directors							
Disclosure of notes on meeting of board of directors explanatory							
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	14-08-2021				Yes	5	2
2		14-11-2021	91		Yes	4	2

Annexure 1								
IV. Meeting of Committees								
Disclosure of notes on meeting of committees explanatory								
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	Audit Committee	14-08-2021				Yes	3	2
2	Audit Committee	14-11-2021	91			Yes	3	2
3	Other Committee	10-12-2021		Finance & Executive Committee		Yes	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	NA	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 500 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes
9	Any comments/observations/advice of Board of Directors may be mentioned here:	Textual Information(1)

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Phul Jha
2	Designation	Company Secretary and Compliance Officer

Text Block	
Textual Information(1)	No comment/observation/ advice of the Board of Directors were received.

Signatory Details	
Name of signatory	Phul Jha
Designation of person	Company Secretary and Compliance Officer
Place	New Delhi
Date	20-01-2022

