

APEX CAPITAL AND FINANCE LIMITED

(Formerly Apex Home Finance Limited)



To

29th October, 2020

The Listing Department
BSE Limited
Pheeroze Jeejeebhoy Towers,
Dalal Street, Mumbai- 400001.

Scrip Code: 541133; Security ID: ACFL

Subject: Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2020 in respect of Apex Capital and Finance Limited ("the Company").

Dear Sir,

We are enclosing herewith Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30th September, 2020.

This is for your kind information & record please.

Thanking you.

Yours faithfully,

For Apex Capital and Finance Limited

Phuljha
(Company Secretary & Compliance Officer)
ICSI M. No.: 20850



CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2020

We have examined Share Transfer deeds, Memorandum of Transfers, Registers, files & other documents relating to Apex Capital and Finance Limited (CIN: L659100L1985PLC021241), (the Company) maintained by Skyline Financial Services Private Limited, Registrar and Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 01st April, 2020 to 30th September, 2020 (the Period) for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that:

- A. 1. (a) No requests received for registration of transfers, transmission in respect of shares, held in physical mode, during the period from 01st April, 2020 to 30th September, 2020 and;
1. (b) No request for sub-division of share certificate, split certificate, consolidation, duplicate issue, renewal and exchanges was received during the period from 01st April, 2020 to 30th September, 2020.;
- B. Any other information, if applicable:- Nil

For S. Behera & Co.
Company Secretaries

(Shesdev Behera)

C.P. No. 5980

UDIN: F008428B001096647



Date: 29.10.2020

Place: New Delhi